



**Course on**

# **Formal Language & Automata Theory**

**Department of CSE, GIET University, Gunupur, Odisha**

## Outline of the Lecture

- Regular set and Regular Expression
- Operators in Regular expressions
- Finite Automata from Regular expression
- Arden's theorem
- Regular expression from Finite Automata
- Pumping Lemma for Regular languages
- Closure properties of Regular languages

## Regular set and Regular Expression

**Regular Expression(RE):** It can be thought of as an algebraic description of DFA and NFA. It is a sequence of characters that forms a search pattern, mainly for use in pattern matching with strings, or string matching.

**Regular Set:** The set which can be represented by RE.

**Regular language(RL):** A language is said to be Regular if there is a RE for that language.(later we will discuss more clearly)

Regular Exp	Regular set
a	{a}
a+b+c	{a,b,c}
ab+ba	{ab,ba}
ab(d+e)	{abd,abe}
a*	{ $\lambda$ ,a,aa,aaa.....}
abc*de	{abde,abcde,abccde,...}
(a+b)*	{ $\lambda$ ,a,b,aa,ab,ba,bb,aaa,aab,bba... .....}

## Regular Expression(RE):

If  $\Sigma$  is an alphabet, then the class of RE over  $\Sigma$  is defined as follow

1. The symbol  $\epsilon$  and  $\phi$  are RE
2. If  $a \in \Sigma$  then  $a$  is a RE
3. Union of two RE is a RE(  $R_1+R_2$ )
4. Concatenation of two RE is RE ie (  $R_1R_2$ )
5. If  $R$  is a RE then  $R^*$  is a RE.
6. If  $R$  is a RE then (  $R$ ) is RE
7. Nothing else will be RE unless it is constructed from point 1 to point 6

Example:

Note:  $a+b$  means either  $a$  or  $b$

1. Write the RE for the language over  $\Sigma=(0)$ , that recognize even number of 0
2. Write the RE for the language over  $\Sigma=(1)$  that recognize odd number of 1
3. Write the RE for the language over  $\Sigma=(0,1)$  that recognize even 0 followed by even 1.
4. Write the RE for the language over  $\Sigma=(0,1)$  that recognize substring 010

**Note: write the RE for all DFA which we designed.**

**Write the RE over  $\Sigma = (0, 1)$  for the following**

1. Even number of 0
2. Accept the string 1000 only
3. Started with 0.
4. Ended with 1.
5. Started with 0 and ended with 1
6. Odd number of 1
7. Started with 00
8. Accept the string having substring 010
9. Accept the string having substring 1101
10. Accept the string having substring 1100
11. Even number of 0 and even number of 1
12. Even number of 0 and odd number of 1
13. Odd number of 0 and even number of 1
14. odd number of 0 and odd number of 1
15. String length modulo 3 = 0 (length is multiple of 3)
16. Decimal representation of string modulo 3 = 0

**Write the RE over  $\Sigma = (a, b)$  for the following**

1. Accept the string  $a^*$
2. Accept the string  $b^+$
3. Even number of a
4. Even number of a followed by even number of b
5. Even number of a followed by odd number of b
6. Odd number of a followed by even number of b
7. Odd number of a followed by odd number of b
8. Accept the string having at least 3 a
9. Accept the string having at least 3 b
10. Accept the string having at least 3 a and at least 2 b
11. Exactly three a and exactly 2 b

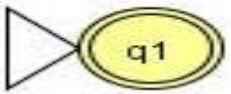
**Algebra for RE:** If P, Q, R are RE then following holds

1.  $\phi + R = R$
2.  $\phi R = R$   $\phi = \phi$
3.  $\epsilon R = R$   $\epsilon = R$
4.  $\epsilon^* = \epsilon$
5.  $\Phi^* = \epsilon$
6.  $R + R = R$
7.  $R^* R^* = R^*$
8.  $RR^* = R^* R = R^+$
9.  $(R^*)^* = R^*$
10.  $\epsilon + RR^* = R^* = \epsilon R^* R$
11.  $(PQ)^* P = P(QP)^*$
12.  $(P+Q)^* = (P^* Q^*)^* = (P^* + Q^*)^*$
13.  $(P+Q)R = PR + QR$
14.  $R(P+Q) = RP + RQ$

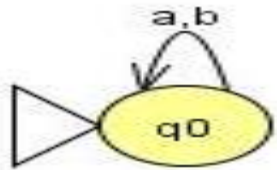


## Building Finite Automata from Regular expression

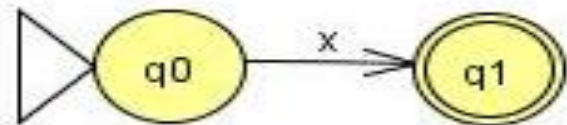
1. There is an FA that accepts the language defined by regular expression  $\epsilon$ ; i.e., the language  $\{\epsilon\}$



2. There is an FA defined by the regular expression  $\emptyset$ ; i.e., the language with no words, which is  $\emptyset$ . [Let  $\Sigma = \{a, b\}$  ].



3. There is an FA that accepts the language  $L$  defined by the regular expression  $x$ ; i.e.,  $L = \{x\}$ , where  $x \in \Sigma$ , so language  $L$  consists of only a single word and that word is the single letter  $x$



▪Based on Rule 2, we get following definition of FA:

If there is an FA called  $FA_1$  that accepts the language defined by the regular expression  $r_1$  and there is an FA called  $FA_2$  that accepts the language defined by the regular expression  $r_2$ , then there is an FA called  $FA_3$  that accepts the language defined by the regular expression  $r_1 + r_2$ .

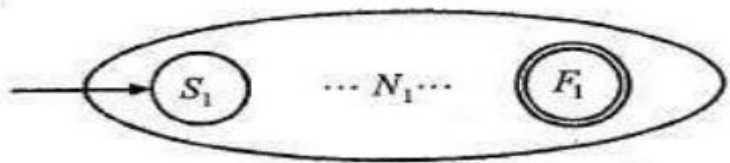


FIGURE 2(a) NFA for regular set  $R_1$

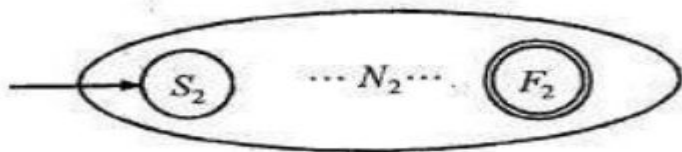
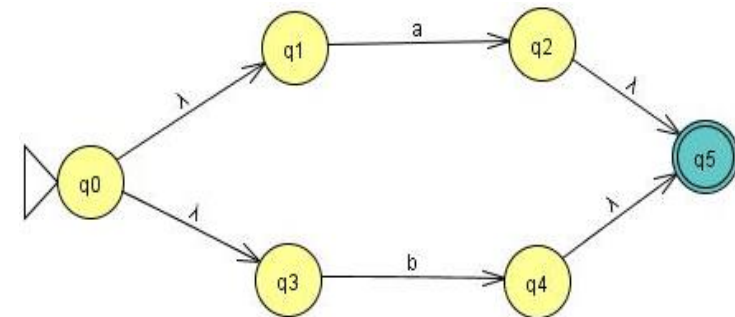
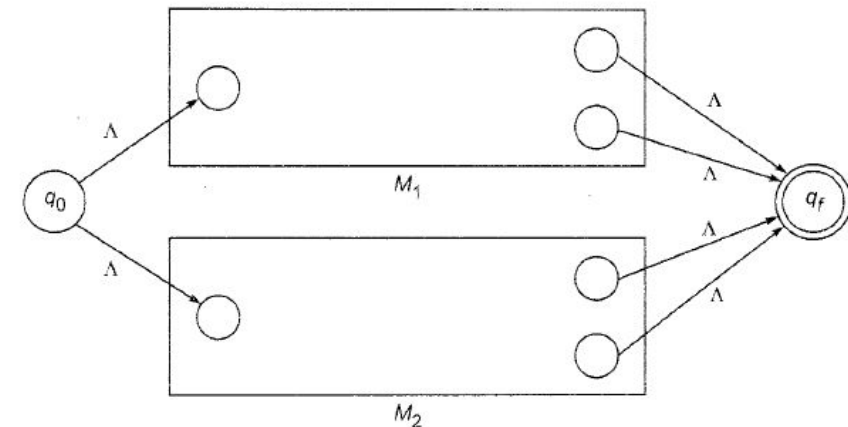


FIGURE 2(b) NFA for regular set  $R_2$



▪Based on Rule 3, we get following definition of FA:

If there is an FA called  $FA_1$  that accepts the language defined by the regular expression  $r_1$  and there is an FA called  $FA_2$  that accepts the language defined by the regular expression  $r_2$ , then there is an FA called  $FA_3$  that accepts the language defined by the regular expression  $r_1 r_2$ , which is the concatenation.

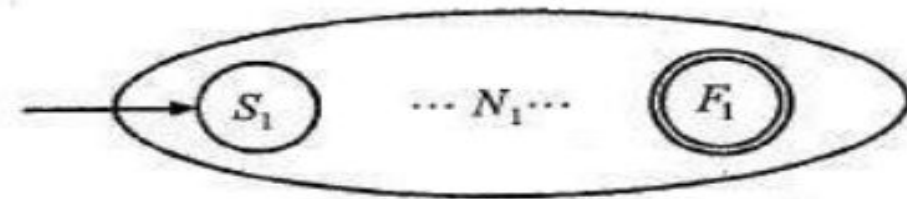


FIGURE 2(a) NFA for regular set  $R_1$

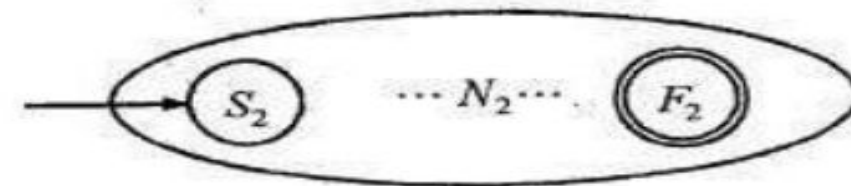
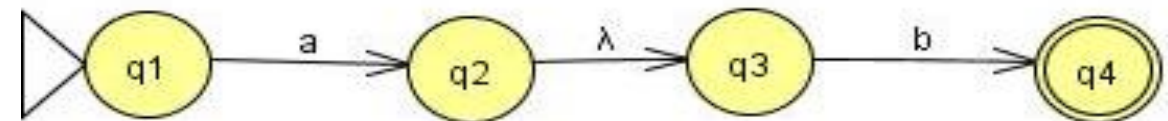
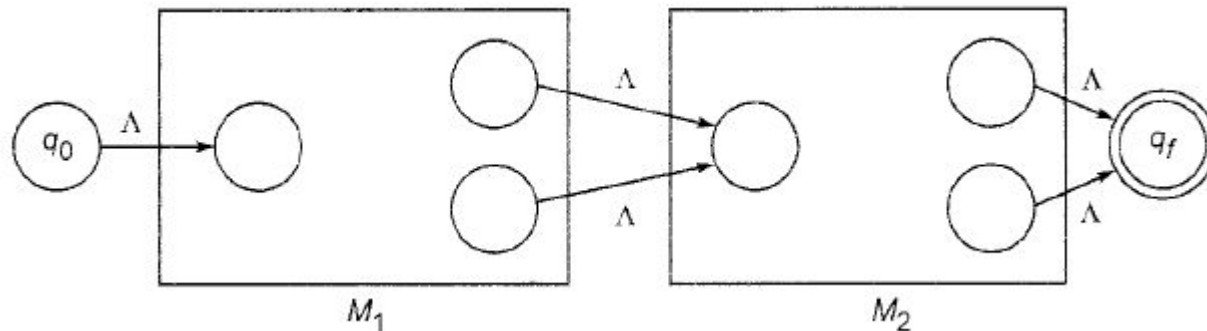
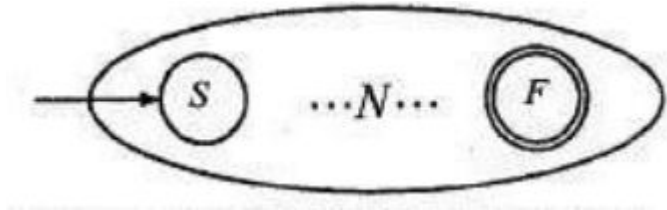


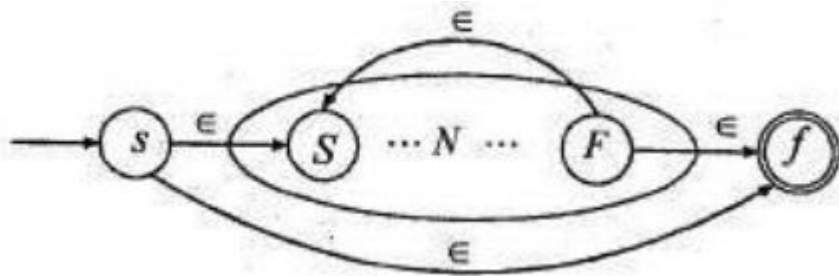
FIGURE 2(b) NFA for regular set  $R_2$



NDFA accepting  $L^*$ /Kleene star.



NDFA accepting  $L^*$ /Kleene star



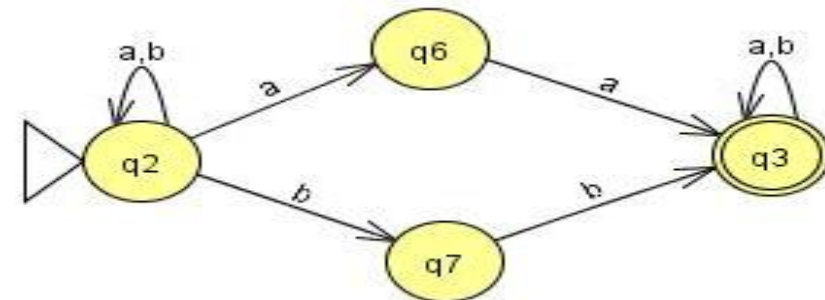
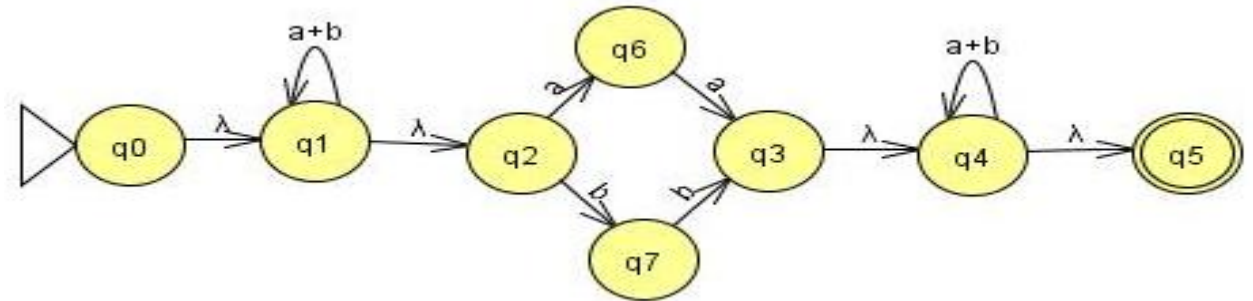
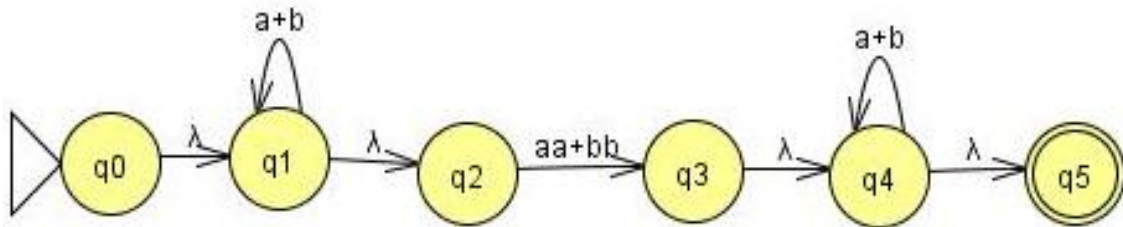
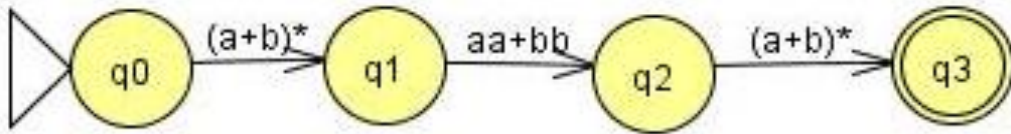
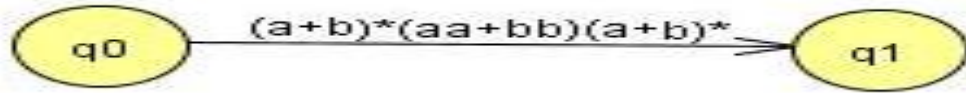
## Design FA from the given RE

1.  $a+b$
2.  $(a+b)c$
3.  $(a+b)^*$
4.  $(a+b)^*c$

1.  $(0+1)^*(00+11)(0+1)^*$

## Design FA from the given RE

1.  $(a+b)^*(aa+bb)(a+b)^*$





## Arden's Theorem:

Let  $P$  and  $Q$  are RE over  $\Sigma$  and  $P$  does not contain  $\epsilon$ , then following equivalents  $R=Q+RP \text{---(A)}$  has an unique solution  $R=QP^*$

**Proof:** To prove this theorem we put the value of  $R$  in equation  $A$ . Hence, we get  $Q+RP = Q+(Q+RP)P$

$$= Q+QP+RPP$$

$$= Q+QP+RP^2$$

$$= Q+QP+QP^2+\dots QP^i+RP^{i+1}$$

$$= Q(\epsilon + P + P^2 + \dots + P^i) + RP^{i+1}$$

$$Q+RP = Q(\epsilon + P + P^2 + \dots + P^i) + RP^{i+1}$$

$$\text{for all } i \geq 0 \text{ .....(B)}$$

Let us assume  $w$  be the string of length in the set  $R$ . then  $w$  belongs to the equation  $B$ . But as  $P$  does not contain  $\epsilon$ , hence  $RP^{i+1}$  does not contain any string of length less than  $i+1$  and so  $w$  does not belongs to the set  $RP^{i+1}$ . This means  $w$  belongs to the  $QP^*$ .



Show that

$$(1+00^*1)+(1+00^*1)(0+10^*1)^*(0+10^*1) = 0^*1(0+10^*1)^*$$

$$(1+00^*1) [ (\epsilon + (0+10^*1)^*(0+10^*1)) ] \text{ By R-14}$$

$$(1+00^*1)(0+10^*1)^* \text{ By R-10}$$

$$(\epsilon+00^*)1(0+10^*1)^* \text{ By R13}$$

$$0^*1(0+10^*1)^* \text{ By Arden's Theorem}$$

$$10 \quad \epsilon+RR^*=R^* = \epsilon R^*R$$

$$11 \quad (PQ)^*P=P(QP)^*$$

$$12 \quad (P+Q)^*=(P^*Q^*)^*=(P^*+Q^*)^*$$

$$13 \quad (P+Q)R=PR+QR$$

$$14 \quad R(P+Q)=RP+RQ$$

**Show that**

$$\epsilon + 1^*(011)^*(1^*(011)^*)^* = (1+011)^*$$

$$= (1^*(01)^*)^* \text{ as } \epsilon + PP^* = P^*$$

$$= (1+01)^* \text{ as } (p^*+Q^*)^* = (P+Q)^*$$

$$10 \quad \epsilon + RR^* = R^* = \epsilon R^* R$$

$$11 \quad (PQ)^* P = P(QP)^*$$

$$12 \quad (P+Q)^* = (P^*Q^*)^* = (P^*+Q^*)^*$$

$$13 \quad (P+Q)R = PR+QR$$

$$14 \quad R(P+Q) = RP+RQ$$

## Finite Automata to Regular Expression

- We can use Arden's theorem to find the regular expression from a given finite automata.

- Assumptions;

Finite automata has only one initial state.

No  $\lambda$  move

Consider the incoming arrows for a node

- From this graph we can write equations as

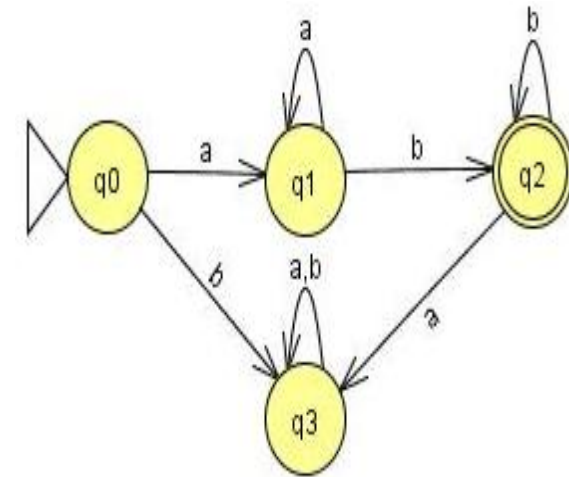
$q_0 = \lambda$  (No incoming arrow on  $q_0$ )

$q_1 = q_0.a + q_1.a$  (from  $q_0, a$  and  $q_1, b$ )

$q_2 = q_1.b + q_2.b$  (from  $q_1, b$  and  $q_2, b$ )

$q_3 = q_0.b + q_3.a + q_3.b + q_2.a$

(from  $q_0, b$ ; from  $q_3, b$ ; from  $q_3, a$ ; from  $q_2, a$ )



$$q_0 = \epsilon \quad (1)$$

$$q_1 = q_0.a + q_1.a \quad (2)$$

$$q_2 = q_1.b + q_2.b \quad (3)$$

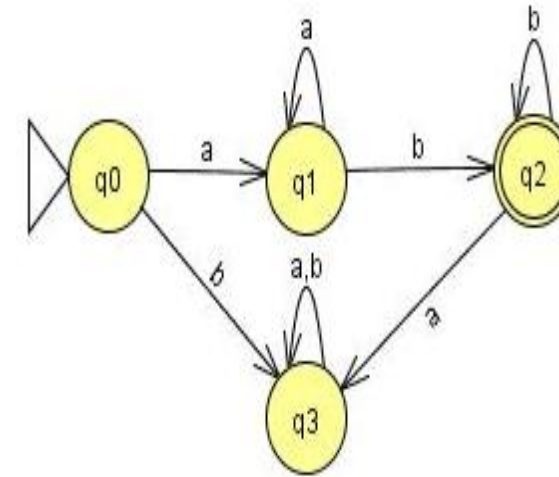
$$q_3 = q_0.b + q_3.a + q_3.b + q_2.a \quad (4)$$

$$q_1 = \epsilon a + q_1 a = a + q_1 a = Q + RP \Rightarrow R = QP^*$$

$$q_1 = aa^*$$

$$q_2 = aa^*b + q_2b = Q + RP \Rightarrow R = QP^*$$

$$q_2 = aa^*bb^*$$



Now q2 is a final state therefore our conversion ends here.

## Example

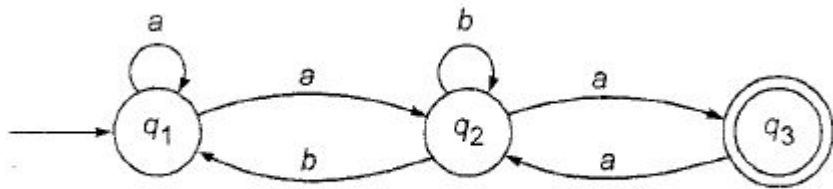


Fig. 5.13 Transition system of Example 5.8.

$$q_1 = q_1a + q_2b + \epsilon \text{-----} (1)$$

$$q_2 = q_1a + q_2b + q_3a \quad (2)$$

$$q_3 = q_2a \quad (3)$$

$$q_2 = q_1a + q_2b + q_2aa$$

$$= q_1a + q_2(b + aa)$$

$$= q_1a(b + aa)^*$$

Substituting  $q_2$  in  $q_1$ , we get

$$q_1 = q_1a + q_1a(b + aa)^*b + \Lambda$$

$$= q_1(a + a(b + aa)^*b) + \Lambda$$

Hence,

$$q_1 = \Lambda(a + a(b + aa)^*b)^*$$

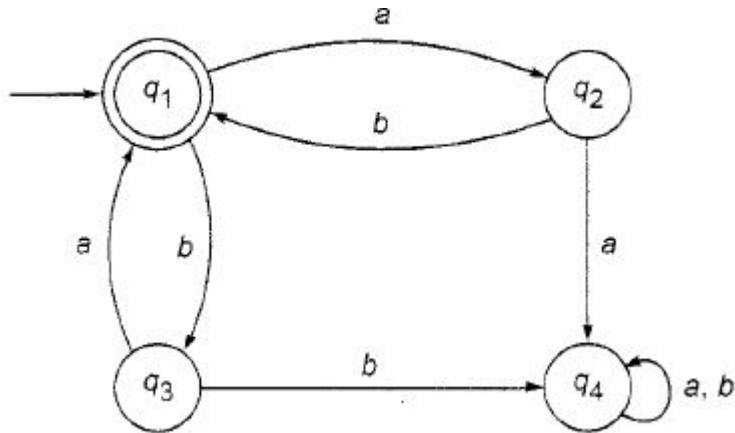
$$q_2 = (a + a(b + aa)^*b)^* a(b + aa)^*$$

$$q_3 = (a + a(b + aa)^*b)^* a(b + aa)^*a$$

Since  $q_3$  is a final state, the set of strings recognized by the graph is given by

$$(a + a(b + aa)^*b)^*a(b + aa)^*a$$

## Example



$$q_1 = q_2b + q_3a + \epsilon \text{----- (1)}$$

$$q_2 = q_1a \text{ (2)}$$

$$q_3 = q_1b \text{ (3)}$$

$$q_4 = q_2a + q_3b + q_4a + q_4b \text{ (4)}$$

-----

$$q_1 = q_2b + q_3a + \Lambda$$

$$q_2 = q_1a$$

$$q_3 = q_1b$$

$$q_4 = q_2a + q_3b + q_4a + q_4b$$

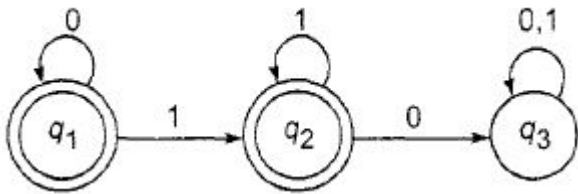
As  $q_1$  is the only final state and the  $q_1$ -equation involves only  $q_2$  and  $q_3$ , we use only  $q_2$ - and  $q_3$ -equations (the  $q_4$ -equation is redundant for our purposes). Substituting for  $q_2$  and  $q_3$ , we get

$$q_1 = q_1ab + q_1ba + \Lambda = q_1(ab + ba) + \Lambda$$

By applying Theorem 5.1, we get

$$q_1 = \Lambda(ab + ba)^* = (ab + ba)^*$$

## Example



$$q_1 = q_1 0 + \epsilon \text{-----} \quad (1)$$

$$q_2 = q_1 1 + q_2 1 \quad (2)$$

$$q_3 = q_2 0 + q_3 0 + q_3 1 \quad (3)$$

By applying Theorem 5.1 to the  $q_1$ -equation, we get

$$q_1 = \Lambda 0^* = 0^*$$

So,

$$q_2 = q_1 1 + q_2 1 = 0^* 1 + q_2 1$$

Therefore,

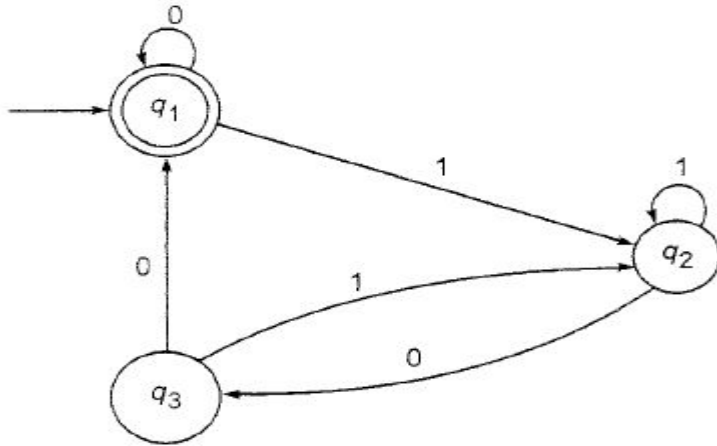
$$q_2 = (0^* 1) 1^*$$

As the final states are  $q_1$  and  $q_2$ , we need not solve for  $q_3$ :

$$q_1 + q_2 = 0^* + 0^*(11^*) = 0^*(\Lambda + 11^*) = 0^*(1^*) \quad \text{by } I_9$$

The strings represented by the transition graph are  $0^* 1^*$ . We can interpret the

## Example



$$q_1 = q_1 0 + q_3 0 + \epsilon \text{-----} \quad (1)$$

$$q_2 = q_1 1 + q_2 1 + q_3 1 \quad (2)$$

$$q_3 = q_2 0 \quad (3)$$

So,

$$q_2 = q_1 1 + q_2 1 + (q_2 0) 1 = q_1 1 + q_2 (1 + 01)$$

By applying Theorem 5.1, we get

$$q_2 = q_1 1 (1 + 01)^*$$

Also,

$$\begin{aligned} q_1 &= q_1 0 + q_3 0 + \Lambda = q_1 0 + q_2 00 + \Lambda \\ &= q_1 0 + (q_1 1 (1 + 01)^*) 00 + \Lambda \\ &= q_1 (0 + 1 (1 + 01)^* 00) + \Lambda \end{aligned}$$

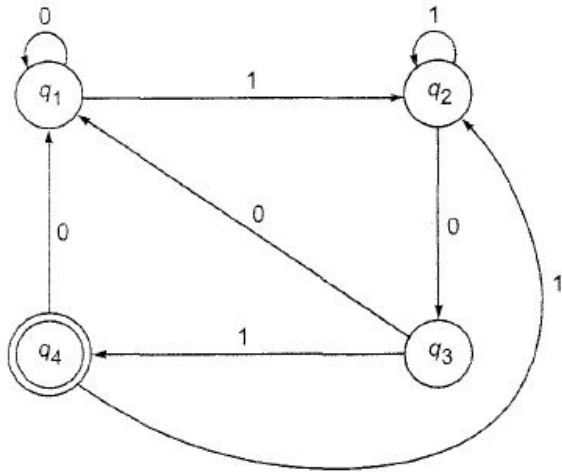
Once again applying Theorem 5.1, we get

$$q_1 = \Lambda (0 + 1 (1 + 01)^* 00)^* = (0 + 1 (1 + 01)^* 00)^*$$

As  $q_1$  is the only final state, the regular expression corresponding to the given diagram is  $(0 + 1 (1 + 01)^* 00)^*$ .



## Example



## Solution

There is only one initial state, and there are no  $\Lambda$ -moves. So, we form the equations corresponding to  $q_1, q_2, q_3, q_4$ :

$$q_1 = q_1 0 + q_3 0 + q_4 0 + \Lambda$$

$$q_2 = q_1 1 + q_2 1 + q_4 1$$

$$q_3 = q_2 0$$

$$q_4 = q_3 1$$

Now,

$$q_4 = q_3 1 = (q_2 0) 1 = q_2 0 1$$

Thus, we are able to write  $q_3, q_4$  in terms of  $q_2$ . Using the  $q_2$ -equation, we get

$$q_2 = q_1 1 + q_2 1 + q_2 0 1 1 = q_1 1 + q_2 (1 + 0 1 1)$$

By applying Theorem 5.1, we obtain

$$q_2 = (q_1 1)(1 + 0 1 1)^* = q_1 (1(1 + 0 1 1)^*)$$

From the  $q_1$ -equation, we have

$$\begin{aligned} q_1 &= q_1 0 + q_2 0 0 + q_2 0 1 0 + \Lambda \\ &= q_1 0 + q_2 (0 0 + 0 1 0) + \Lambda \\ &= q_1 0 + q_1 1 (1 + 0 1 1)^* (0 0 + 0 1 0) + \Lambda \end{aligned}$$

Again, by applying Theorem 5.1, we obtain

$$\begin{aligned} q_1 &= \Lambda (0 + 1(1 + 0 1 1)^* (0 0 + 0 1 0))^* \\ q_4 &= q_2 0 1 = q_1 1 (1 + 0 1 1)^* 0 1 \\ &= (0 + 1(1 + 0 1 1)^* (0 0 + 0 1 0))^* (1(1 + 0 1 1)^* 0 1) \end{aligned}$$

Design a DFA over  $\Sigma = (a, b)$ , which recognize the language having even number of a and even number of b. And convert to corresponding RE



## Pumping Lemma for Regular languages:

**Theorem:**(Pumping Lemma). If  $L$  is an infinite regular language, then there exists a number  $p$  (Pumping length) such that for all  $w \in L$  with  $|w| \geq p$ ,  $w$  can be written as  $xyz$  satisfying the following:

1. For all  $i \geq 0$   $xy^iz \in L$
2.  $|y| > 0$
3.  $|xy| \leq p$

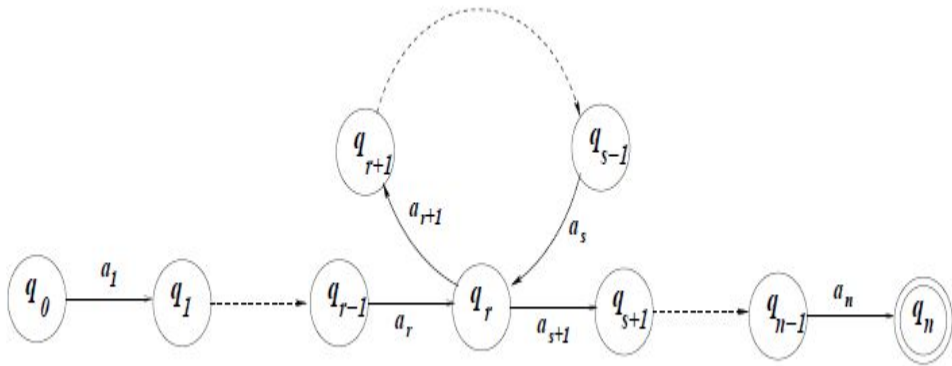
### Proof:

Let  $M = (Q, \Sigma, \delta, q_0, F)$  be a DFA. Let  $w = a_1 a_2 a_3 \dots a_n$ ,  $n \geq p$  be a string.

Let  $q_1, q_2, \dots, q_{n+1}$  be the sequence of state that  $M$  enters while processing.

So  $q_{i+1} = \delta(q_i, a_i)$ ,  $1 \leq i \leq n$  be the sequence of length  $n+1$  which is at least  $p+1$ .

Among the sequence of state two must be in same state. (By pigeonhole principle)



We call the first of these  $q_j$  and second is  $q_l$  because  $q_l$  occurs among the first  $p+1$  place in the sequence.

We have  $l \leq p+1$ .

Now let  $w = a_1, a_2, a_3, \dots, a_{j-1}$

$X = a_1, a_2, \dots, a_{j-1}$

$y = a_j, \dots, a_{l-1}$

$Z = a_l, \dots, a_n$

As  $x$  takes  $M$  from  $q_1$  to  $q_j$ ,  $Y$  takes  $M$  for  $q_j$  to  $q_l$ ,  $Z$  takes  $q_{l+1}$  to  $q_{n+1}$  which is acceptance state

Hence  $M$  must accept  $xy^i z$  for all  $i$

And  $l \leq p+1$  so  $|xy| \leq p$ , as we reach in final state  $xy^i z \in L$

## Pumping Lemma Example:

Prove that  $a^n b^n$  is not regular

### Proof:

Let us assume  $a^n b^n$  is Regular language.

$w = a^n b^n$ ,  $|w| = 2n > n$ , where  $n$  is the pumping length

By pumping lemma  $w = xyz$  with  $|xy| \leq n$ ,  $|y| \geq 1$

We need to find  $x y^i z$

Case 1:  $y$  has 'a' ie  $y = a^k$ ,  $k > 0$

Case 2:  $y$  has 'b' ie  $y = b^k$ ,  $k > 0$

Case 3:  $y$  has both a and b ie  $y = a^k b^l$ ,  $k, l \geq 1$

**Case 1:  $y$  has 'a' ie  $y = a^k$ ,  $k > 0$**

$xy^2z = a^{n-k} a^k a^k b^n = a^{n+k} b^n \notin L$

Hence what we assumed  $a^n b^n$  is Regular language is wrong. Hence  $a^n b^n$  is not Regular language.

Similarly we can do for other two case.

**Prove that  $a^p$  is not regular, where  $p$  is a prime number**

Let us assume  $a^p$  is Regular language.

$w = a^p, |w| = p \geq m$ , where  $m$  is the pumping length

$w = a^p = xyz$ , where  $|xy| \leq p, |y| > 0$

Now evaluate  $xy^{p+1}z$

$\text{Length}(xy^{p+1}z) = \text{Length}(xy^p yz) = \text{Length}(xyz) + \text{Length}(y^p) =$   
 $p + p(\text{length}(y))$

$= p + p.m$

$= p(1+m)$  which is not prime number.

Hence what we assumed  $a^p$  is Regular language is wrong.

So  $a^p$  is not Regular language

**Prove that  $a^{i^2} \mid i \geq 1$  is not regular**

**Proof:**

Let  $a^{i^2}$  is regular

$W = a^{n^2}$ ,  $|W| = n^2 > n$  by pumping lemma

$W = xyz$  with  $|xy| \leq n$ ,  $|y| > 0$

Now  $|xy^2z| =$



# Thank You